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PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 8 2000

Group 2700

In re Application of:

JIM DEGRAAF, ET AL.

Appln. No.: 09/324,920

Filed: June 3, 1999

For: RISK MANAGEMENT SYSTEM  
AND METHOD PROVIDING  
RULE-BASED EVOLUTION  
OF A PORTFOLIO OF  
INSTRUMENTS

Examiner: Unassigned

Group Art Unit: 2761

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

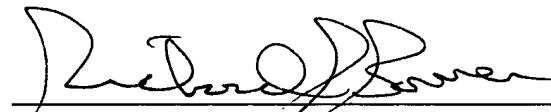
In compliance with the duty of disclosure under  
37 C.F.R. § 1.56 and in accordance with the practice under  
37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is  
directed to the documents listed on the enclosed Form  
PTO-1449. Copies of the listed documents are also enclosed.

CONCLUSION

It is respectfully requested that the below-listed information be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
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Attorney for Applicants

Registration No. 31.588

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